



UNDERSEA TECHNOLOGY
INNOVATION CONSORTIUM

UTIC

Membership Application

This Membership Application is made by _____ to the Undersea Technology Innovation Consortium (UTIC). Through submission of this application, Applicant seeks to become a member of UTIC under the terms and conditions of the UTIC Consortium Membership Agreement (CMA).

Applicant agrees to disclose immediately to the UTIC any changes affecting Applicant's representation that it meets all Member Obligations. Applicant represents the following:

- It is eligible to contract with the U.S. Government (i.e., Applicant is not debarred or suspended by the United States Government);
- It is willing to contribute its respective talents and resources to the UTIC for activities such as periodic meeting attendance, committee and subcommittee participation, and other activities as may be appropriate; and
- It is capable of making a technical contribution to the advancement of undersea and maritime technology.

Applicant's legal corporate/organization name:

Street Address:

City, State, Zip:

Phone Number:

Website:

DUNS Number:

CAGE Code:

Is applicant a U.S. Organization? Yes No

Is applicant operating under Foreign Ownership, Control or Influence (FOCI)?

Please Note: A U.S. company is considered under FOCI whenever a foreign interest has the power, direct or indirect, whether or not exercised, and whether or not exercisable through the ownership of the U.S. company's securities, by contractual arrangements or other means, to direct or decide matters affecting the management or operations of that company in a manner which may result in unauthorized access to [export controlled information] classified information or may adversely affect the performance of classified contracts. National Industrial Security Program Manual (DoD 5220.22-M, Para. 2-300a.)

Yes No

If Yes:

a) Applicant must attach a letter from the Defense Security Service (DSS) verifying that it has an approved plan, special security agreement, or other DSS-approved instrument in place for negating or mitigating the risk of foreign ownership, control or influence; or,

b) If Applicant does not have a DSS-approved mitigation instrument in-place, Applicant must submit a signed copy of Attachment A – Export Compliance Acknowledgment Form.

Does Applicant have a DD2345 Joint Certification Program Certification Number?

Yes No

Certification Number:

Expiration Date:

Please Note: Applicant must have a DD2345 Certification to be considered for UTIC Membership. The signed certification document must be attached to this application.

Is Applicant currently a “Non-traditional Government Contractor”? Yes No

A non-traditional defense contractor means an entity that is not currently performing and has not performed, for at least one-year prior to the date of application, any contract or subcontract for the Department of Defense that is subject to full coverage under the cost accounting standards prescribed pursuant to section 1502 of title 41 and the regulations implementing such section.

Additional info regarding cost accounting standards can be found here: [CAS Workflow](#)

(Note that small businesses are exempt from CAS and therefore are considered non-traditional.

The NAICS code is 541715 – Research and Development in the Physical, Engineering, and Life Sciences (Except Nanotechnology and Biotechnology)).

Applicant is a (check all that apply):

Large Business Small Business Non-Profit Academic Institution
 Affiliate of current member: (indicate current member organization)

Applicant’s designated points of contact (and address if different from above):

Primary Point of Contact

Name: Title:

Phone: Email:

Fax:

Street Address:

City, State, Zip:

Business Point of Contact (if different from above)

Name: _____ Title: _____

Phone: _____ Email: _____

Description of applicant's organization:

The information provided below will be used to: 1) record your organization's in-house research and development capabilities relevant to undersea and maritime technology and 2) upload this information to the UTIC Members-Only website as a resource to facilitate teaming opportunities among the membership. For Technical Expertise, focus on the technology keywords provided, and check the corresponding block(s) immediately below.

Technology Area Keywords:

- Sensors and Sonar
- Platform and Payload Integration
- Undersea Warfare Combat Systems
- Communications
- Undersea Warfare Imaging
- Undersea Distributed Network Systems
- Electronics/Optics/Materials
- Passive Sensing
- Undersea Satellites
- Energy Storage and Release
- Virtualization
- Machine Learning
- Threat Monitoring and Prediction
- Cooperative Force Torpedo Defense

- Undersea Warfare Analysis
- Multi-Domain Fusion
- Electronic Warfare
- Distributed and Autonomous Sensors
- USW Weapons and Autonomous Vehicles
- Autonomy
- Quantum Computing
- Bio-Inspired Sensors
- Environmental Sensing, Monitoring, and Prediction
- In-Situ Energy Sources
- Multi-Dimensional Display

Please limit each area to 1500 characters or less.

Core Business Areas/Focus:

R&D Highlights/Projects:

Technical Expertise:

If Applicant does not want this information posted on the website, check here:

Acknowledgement and signature:

Applicant acknowledges that it has caused this Application to be signed by its duly-authorized representative. By signing this Application, Applicant certifies and represents that (1) the information provided in this Application and any attachments hereto is a current, complete, true and correct statement of Applicant’s organizational structure and affiliations as of the date of signing and (2) agrees to the terms and conditions of the *UTIC Consortium Membership Agreement (CMA)*, including its obligations contained therein.

Applicant Name (Company Name):

Authorized Representative Signature: _____

Authorized Representative Printed Name: _____

Title:

Date:

Note: By signing this Application, the Applicant hereby provides written consent for the Undersea Technology Innovation Consortium to include its name in all published Membership lists.

“Yes, I would like to receive email communications with the latest news and information about future business and collaborative opportunities, including new consortia that may be established. By selecting this option, you are not obligating your organization to any future opportunities. ATI will not use this information for any purpose other than to inform you of events and prospects that are of interest to your business. Further, you can rescind this authorization at any time.”

Application submission:

Please email the Application to UTIC.Consortium@ati.org.

Direct any questions to:

Hannah Bolton

Undersea Technology Innovation Consortium

c/o Advanced Technology International

315 Sigma Drive

Summerville, SC 29486

www.underseatech.org

Membership Application Checklist

This checklist should be used as a guide to verify all required documents for UTIC membership are sent to UTIC.Consortium@ati.org

Signed Application

Copy of DD2345

Organizational Capabilities Description of the Applicant’s business as it may apply to research and development in the undersea and maritime technology fields, and any additional capabilities or interests the Applicant has that may be applicable to undersea and maritime technology.

If operating under FOCI, please submit the DSS letter approving your FOCI contractor’s plan or a signed copy of Attachment A.

Attachment A

Export Compliance Acknowledgment Form

1. I understand that, as a member of the Undersea Technology Innovation Consortium (UTIC), my organization may be granted access to information that may be subject to one or more export control laws and regulations of the U.S. Government, and that the information may fall under the control/ jurisdiction of either the Department of State or the Department of Commerce. This may occur through information made available through the UTIC Consortium Members-Only Site and/or UTIC- or Government-sponsored events.
2. I understand that it is unlawful to export, or attempt to export or otherwise transfer or sell any hardware or technical data or furnish any service to any foreign person, whether abroad or in the United States, for which a license or written approval of the U.S. Government is required, without first obtaining the required license or written approval from the department of the U.S. Government having jurisdiction.
3. I understand that, in the ITAR (§ 120.16), a foreign person means any natural person who is not a lawful permanent resident as defined by 8 U.S.C.1101(a)(20), or who is not a protected individual as defined by 8 U.S.C. 1324b(a)(3). It also means any foreign corporation, business association, partnership, trust, society or any other entity or group that is not incorporated or organized to do business in the U.S., as well as international organizations, foreign governments and any agency or subdivision of foreign governments.
4. I understand that my organization is responsible for compliance with any and all U.S. Government export controls and regulations and that, if my organization violates them, it could result upon conviction in severe criminal and civil penalties (including substantial fines, imprisonment, seizure of controlled products and technical data, and/or suspension/removal of export privileges) for my organization and/or individuals from my organization.
5. I further understand that sale or transfer of products or technical data to individuals, entities and countries named in the lists referenced in the Lists of Prohibited Individuals, Entities and Countries is strictly prohibited.

Applicant acknowledges that it has read and understands its export compliance responsibilities, to which the applicant agrees to meet its obligation, in its entirety, and is undertaking and has caused this Acknowledgment Form to be signed by its duly-authorized representative on the date set forth below:

Applicant Name (Company Name): _____

Authorized Representative Signature: _____

Authorized Representative Printed Name: _____

Title: _____

Date: _____